



**NSCP/SEC Regulatory Interchange
for Investment Advisers and Private Funds
Boston, MA
December 3, 2018**

AGENDA

8:30 AM – 9:00 AM	Registration & Networking
9:00 AM – 9:50 AM	Breakfast and SEC Hot Topics Discussions Adam Reback, CCO, J. Goldman & Co. L.P.
9:50 AM – 10:00 AM	Break
10:00 AM – 11:30 AM	SEC Dialogue / Q&A SEC Speakers: Jennifer Duggins, Assistant Director, Co-Head Private Funds Unit Kevin Kelcourse, Associate Director, OCIE Maryellen Maurer, Senior Securities Compliance Examiner, OCIE Moderator: Adam Reback, CCO, J. Goldman & Co. L.P.
11:30 AM – 12:00 PM	Follow-up Discussions