



**NSCP Regulatory Interchange – Newport Beach
February 4, 2019
AGENDA**

- 8:30 AM – 9:00 AM Registration & Continental Breakfast**
- 9:00 AM – 9:45 AM Hot Topics Discussions**
- 9:45 AM – 10:00 AM Break**
- 10:00 AM – 11:00 AM Discussions with FINRA and SEC**
- 11:00 AM – 11:10 AM Break**
- 11:10 AM – 12:00 PM Continued discussions with FINRA and SEC**