



**NSCP Regulatory Interchange
Federal Reserve Bank of Chicago
Tuesday, April 21, 2020
AGENDA**

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|----------------------------|---|
| 8:30 AM – 9:00 AM | Registration & Continental Breakfast |
| 9:00 am – 10:00 am | FINRA & SEC Session for BDs and Dual Registrants |
| 10:00 am – 10:15 am | Break |
| 10:15 am – 11:15 am | SEC Session for IAs, ICs and PFs |
| 11:15 am – 11:30 am | Break |
| 11:30 am – 12:30 pm | CFTC & NFA Session for PFs & Commodities |